Venous Thromboembolism and Postoperative Management of Anticoagulation

Susan M. Friedman, MD, MPH*, Joshua D. Uy, MD

KEYWORDS

• Deep venous thrombosis • Pulmonary embolism • Prevention

KEY POINTS

- The incidence of venous thromboembolism (VTE) after fracture repair has decreased over time, as a result of improved surgical technique and earlier mobilization.
- Hip fracture patients are considered to be in the highest risk category for VTE.
- All hip fracture patients should receive VTE prophylaxis, which may include pharmacologic and nonpharmacologic approaches.
- There are many pharmacologic options for VTE prophylaxis, and the choice should be based on each patient's characteristics and circumstances.
- Optimizing VTE prophylaxis requires consideration of both the risk of thromboembolism and bleeding risk.

INTRODUCTION/EPIDEMIOLOGY

The reported risk of venous thromboembolism (VTE) following hip fracture repair is substantial, but varies depending on how it was measured and when the study was completed. Earlier studies, which were placebo-controlled, showed that the incidence of VTE without prophylaxis ranged from 46% to $75\%^{1-4}$; however, many of these cases were determined through screening and were asymptomatic. The incidence of proximal deep venous thrombosis (DVT) is 27% without prophylaxis,⁵ and the rate of fatal pulmonary embolism (PE) has been estimated previously at 1.9%.⁶ VTE is the second most common complication following hip fracture surgery.⁷ Because of this, the American College of Chest Physicians (ACCP) puts hip fracture patients in the highest risk group.⁸

The incidence of VTE has decreased over time, as a result of improved surgical techniques, reductions in time to surgery, and earlier mobilization. Still, without

Department of Medicine, Division of Geriatrics, University of Rochester School of Medicine and Dentistry, 1000 South Avenue, Box 58, Rochester, NY 14620, USA

E-mail address: susan_friedman@URMC.rochester.edu

Clin Geriatr Med 30 (2014) 285–291 http://dx.doi.org/10.1016/j.cger.2014.01.007

^{*} Corresponding author.

prophylaxis, current estimates for symptomatic VTE are 4.3% within 35 days of surgery, with symptomatic DVT and PE incidence of 1.8% and 1%, respectively, in the first 10 to 14 days following surgery. With low molecular weight heparin (LMWH) treatment for 35 days, the incidence of symptomatic VTE is reduced to 1.8% (ie, a number needed to treat [NNT] of 40).

The rationale for thromboprophylaxis is multifold.⁸ As described previously, VTE following hip fracture surgery is common, and usually silent. Screening patients who are at risk is neither effective nor cost-effective. Morbidity (including symptomatic DVT and PE and postphlebitic syndrome) and mortality are high. Finally, thromboprophylaxis is effective at preventing symptomatic VTE and fatal PE, and has repeatedly been shown to be cost-effective.

The process of developing VTE starts early. In 1 study, 62% of those who waited 48 hours or more for surgery had venographic evidence of DVT.¹⁰ However, the presentation of symptoms is often delayed until after the initial hospitalization.¹¹ In 1 study, patients presented with DVT or PE a median of 24 days and 17 days after surgery, respectively.¹²

Hip fracture patients have many reasons for being at risk for VTE. Virchow triad requires the development of at least one of the following: venous stasis, vascular intimal injury, and hypercoagulable state. ¹³ Following a hip fracture, patients can develop venous stasis due to immobility, as well as from supine positioning for surgery. Vascular intimal injury may occur at the time of the fracture or during surgery. A transient hypercoagulable state may occur from the release of tissue factors.

PATIENT EVALUATION OVERVIEW

The first step in evaluating patients for postoperative anticoagulation is to determine both their risk of VTE as well as their risk of bleeding. In addition to the risks common to all hip fracture patients, other factors increase risk further (Box 1). A history of malignancy increases risk of VTE, and metastatic disease confers higher risk than localized disease. ¹⁴ Certain malignancies, such as pancreatic and stomach malignancies,

Box 1 Risk factors for VTE

Patient characteristics

- Age ≥85
- Malignancy
- Previous VTE
- Obesity
- Congestive heart failure
- Charlson comorbidity score ≥3
- Paralysis
- Presence of an inhibitor deficiency state

Surgical characteristics

- Surgical delay
- Prolonged surgery
- Extracapsular fracture

are associated with particularly high risk of VTE. ¹⁵ A history of VTE, especially recent and/or unprovoked, increases risk. Presence of an inhibitor deficiency state, such as protein C, protein S, or antithrombin deficiency, increases the relative risk of VTE recurrence by up to threefold. ¹⁶ The type of fracture may be important; in 1 large series, patients with extracapsular fractures (intertrochanteric or subtrochanteric) were twice as likely to develop symptomatic VTE as those with intracapsular fractures. ¹⁷

The risk of bleeding is also important to consider. Different classification schemes have been developed to evaluate bleeding risk. ^{18–21} The HAS-BLED scale²⁰ evaluates 1-year risk of major bleeding (defined as intracranial bleeding, bleeding requiring hospitalization, hemoglobin decrease >2 g/L, and/or transfusion) in patients with atrial fibrillation (**Table 1**). Most patients in the study cohort were taking oral anticoagulation medications, but the predictive capability was similar for those who were taking them and those who were not.

Table 1 HAS-BLED score for determining risk of major bleed					
	Condition	Points	Total	Bleeds Per 100 Patient-years	
Н	Hypertension	1	0	1.13	
Α	Abnormal liver or kidney function	1 or 2	1	1.02	
S	S troke	1	2	1.88	
В	Bleeding tendency	1	3	3.74	
L	Labile international normalized ratio	1	4	8.70	
E	Elderly (≥65)	1	5–9	Insufficient data	
D	D rugs or alcohol	1 or 2			

Hypertension is defined as systolic blood pressure > 160 mm Hg. Abnormal kidney function is defined as the presence of chronic dialysis or renal transplantation or serum creatinine \geq 200 μ mol/L. Abnormal liver function is defined as chronic hepatic disease (eg, cirrhosis) or biochemical evidence of significant hepatic derangement (eg, bilirubin >2× the upper limit of normal, in association with aspartate transaminase/alanine transaminase/alkaline phosphatase >3× the upper limit of normal). Bleeding refers to previous bleeding history or predisposition to bleeding (eg, bleeding diathesis, anemia). Labile international normalized ratio refers to unstable/high international normalized ratios or poor time in therapeutic range (eg, <60%). Drugs/alcohol use refers to concomitant use of medications, such as antiplatelet agents and nonsteroidal anti-inflammatory drugs.

PHARMACOLOGIC TREATMENT OPTIONS

The ACCP recommends routine VTE prophylaxis in hip fracture patients. Several options are available, based on the patient's individual characteristics (**Table 2**). LMWH is recommended as the preferred agent (grade of evidence 2B), and should be started at least 12 hours before surgery, or 12 or more hours postoperatively (grade 1B). Aspirin was added to the list of options since 2008, and there was not consensus within the panel. Aspirin has been shown to be effective in reducing VTE risk in hip fracture, but less effective than LMWH.

Because many patients with hip fractures are already taking aspirin and/or clopidogrel for other comorbidities, the benefits of adding another anticoagulant medication need to be weighed against the additional risk of bleeding. A patient taking aspirin in addition to warfarin has almost twice the risk of bleeding, and a patient on both aspirin and clopidogrel in addition to warfarin has 4 times the risk.²³

The optimal duration of prophylaxis is unclear. One study comparing 1 week versus 4 weeks of anticoagulation following a hip fracture using fondaparinux showed

Table 2 ACCP 2012 recommendations for VTE pharmacologic prophylaxis				
Agent	Grade of Evidence			
Low molecular weight heparin	1B			
Fondaparinux	1B			
Low-dose unfractionated heparin	1B			
Warfarin	1B			
Aspirin	1B			

a reduction of VTE from 35.0% to 1.4%.²⁴ However, most of these cases were asymptomatic. The reduction in symptomatic VTE was more modest, at 2.7% versus 0.3%, for an NNT of 42. The rate of significant bleeding was 0.6% versus 2.4%, for a number needed to harm (NNH) of 56, although the rate of bleeding requiring reoperation was equivalent in the 2 groups. Because of the ongoing risk for symptomatic VTE after hospital discharge, the ACCP recommends extending thromboprophylaxis for up to 35 days after surgery.⁹

NONPHARMACOLOGIC TREATMENT OPTIONS

The most recent ACCP guidelines include intermittent pneumatic compression devices (IPCDs) as an alternative to pharmacologic prophylaxis (grade 1C). In patients with increased bleeding risk, either IPCDs or no prophylaxis is recommended. Patients who use IPCDs should wear the devices for 18 hours per day. Dual prophylaxis with pharmacologic treatment and an IPCD during hospitalization (grade 2C) is recommended for those who do not place a high value on the undesirable consequences of dual prophylaxis, such as discomfort and the potential for delirium. The NNT for symptomatic VTE is 63. Patients who receive LMWH will sustain 10 fewer symptomatic VTEs per 1000 patients (NNT 100) than those who are treated with IPCDs, at the expense of 10 additional major bleeds per 1000 patients (NNH 100).

In patients who have contraindications to both pharmacologic and mechanical thromboprophylaxis, inferior vena cava (IVC) filter placement is sometimes considered. In a trauma population, the efficacy of PE prevention is high, with an NNT of 24.²⁵ However, this is balanced by the potential harms, including DVT at the insertion site, IVC occlusion, and filter migration. In a study of orthopedic patients with IVC filters placed for prophylaxis, 5% developed DVT.²⁶

Comprehensive VTE prophylaxis includes more than merely deciding what agent to use and how long to use it (Box 2). Other interventions, such as minimizing time to surgery, eliminating restraints, and early physical therapy, all help to reduce the time of immobility, thereby reducing venous stasis and limiting VTE risk. A systemic approach

Box 2 Comprehensive VTE prophylaxis includes

- · Prompt surgery
- · Early weight bearing
- Elimination of restraints
- · Delirium prevention
- Pain management

that incorporates these elements, including pharmacologic and nonpharmacologic components, has been associated with low rates of VTE.²⁷

TO BRIDGE OR NOT TO BRIDGE

For patients who are admitted on warfarin and will be resuming warfarin after surgery, the question of whether to bridge with a short-acting anticoagulant arises. The goal of bridging is to minimize the time that a patient is not anticoagulated, but this must be balanced by early postsurgical bleeding risks.

The ACCP recommends resuming warfarin 12 to 24 hours after surgery, when hemostasis is achieved (grade 2C). Patients can be divided into high (>10% annual risk of thromboembolism), intermediate (5%–10% annual risk) and low (<5%) risk patients. High-risk patients should receive bridging (grade 2C). These patients include mechanical valve patients with mitral valve, caged-ball, tilting disc prosthesis or stroke or transient ischemic attack (TIA) within 6 months; atrial fibrillation patients with CHADS2 (Congestive heart failure, hypertension, age >75, diabetes, stroke/TIA) score of 5 or 6, stroke or TIA within the past 3 months, or rheumatic heart disease; or VTE patients with VTE within the past 3 months or severe thrombophilia.

Data to support the approach to such patients are few; therefore, an individualized approach is required, based on a patient's specific comorbidities and risks, as well as the surgical procedure. For example, the ACCP lists joint arthroplasty as a procedure at high risk of bleeding during perioperative antithrombotic medication administration, so these risks must also be considered.

The use of bridging must also be considered within the context of the overall plan of care. If bridging would cause a significant delay to rehabilitation placement, the harms of a prolonged hospitalization could outweigh the incremental benefit of bridging a few more days.

SUMMARY

Fragility fracture patients are at high risk of postoperative VTE, both because of their injury and surgery, as well as their underlying frailty. Hip fracture patients are considered to be the highest risk group for VTE. Because of the high incidence and significant consequences of VTE, all patients should receive prophylaxis, which may include both pharmacologic and nonpharmacologic approaches. A comprehensive approach is multifaceted, and includes getting patients to surgery expeditiously and early mobilization. The decision of how to optimize prophylaxis is determined by evaluating the patient's risk of VTE and of bleeding, as well as his or her goals of care.

REFERENCES

- 1. Powers PJ, Gent M, Jay RM, et al. A randomized trial of less intense postoperative warfarin or aspirin therapy in the prevention of venous thromboembolism after surgery for fractured hip. Arch Intern Med 1989;149(4):771–4.
- 2. Agnelli G, Cosmi B, Di Filippo P, et al. A randomised, double-blind, placebo-controlled trial of dermatan sulphate for prevention of deep vein thrombosis in hip fracture. Thromb Haemost 1992;67(2):203–8.
- 3. Hamilton HW, Crawford JS, Gardiner JH, et al. Venous thrombosis in patients with fracture of the upper end of the femur. A phlebographic study of the effect of prophylactic anticoagulation. J Bone Joint Surg Br 1970;52(2):268–89.

- 4. Rogers PH, Walsh PN, Marder VJ, et al. Controlled trial of low-dose heparin and sulfinpyrazone to prevent venous thromboembolism after operation on the hip. J Bone Joint Surg Am 1978;60(6):758–62.
- Geerts WH, Bergqvist D, Pineo GF, et al. Prevention of venous thromboembolism: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines (8th edition). Chest 2008;133(Suppl 6):381S-453S.
- 6. Dahl OE, Caprini JA, Colwell CW Jr, et al. Fatal vascular outcomes following major orthopedic surgery. Thromb Haemost 2005;93(5):860–6.
- McLaughlin MA, Orosz GM, Magaziner J, et al. Preoperative status and risk of complications in patients with hip fracture. J Gen Intern Med 2006;21(3):219–25.
- 8. Geerts WH, Pineo GF, Heit JA, et al. Prevention of venous thromboembolism: the seventh ACCP Conference on Antithrombotic and Thrombolytic Therapy. Chest 2004;126(Suppl 3):338S-400S.
- 9. Falck-Ytter Y, Francis CW, Johanson NA, et al. Prevention of VTE in orthopedic surgery patients: antithrombotic therapy and prevention of thrombosis, 9th edition: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines. Chest 2012;141(Suppl 2):e278S–325S.
- 10. Zahn HR, Skinner JA, Porteous MJ. The preoperative prevalence of deep vein thrombosis in patients with femoral neck fractures and delayed operation. Injury 1999;30(9):605–7.
- 11. Hitos K, Fletcher JP. Venous thromboembolism and fractured neck of femur. Thromb Haemost 2005;94(5):991–6.
- Bjornara BT, Gudmundsen TE, Dahl OE. Frequency and timing of clinical venous thromboembolism after major joint surgery. J Bone Joint Surg Br 2006;88(3): 386–91.
- 13. Bagot CN, Arya R. Virchow and his triad: a question of attribution. Br J Haematol 2008;143(2):180–90.
- 14. Maraveyas A, Johnson M. Does clinical method mask significant VTE-related mortality and morbidity in malignant disease? Br J Cancer 2009;100(12):1837–41.
- 15. Chew HK, Wun T, Harvey D, et al. Incidence of venous thromboembolism and its effect on survival among patients with common cancers. Arch Intern Med 2006; 166(4):458–64.
- **16.** Kearon C. Long-term management of patients after venous thromboembolism. Circulation 2004;110(9 Suppl 1):I10–8.
- 17. McNamara I, Sharma A, Prevost T, et al. Symptomatic venous thromboembolism following a hip fracture. Acta Orthop 2009;80(6):687–92.
- **18.** Gage BF, Waterman AD, Shannon W, et al. Validation of clinical classification schemes for predicting stroke: results from the National Registry of Atrial Fibrillation. JAMA 2001;285(22):2864–70.
- 19. Gage BF, Yan Y, Milligan PE, et al. Clinical classification schemes for predicting hemorrhage: results from the National Registry of Atrial Fibrillation (NRAF). Am Heart J 2006;151(3):713–9.
- 20. Pisters R, Lane DA, Nieuwlaat R, et al. A novel user-friendly score (HAS-BLED) to assess 1-year risk of major bleeding in patients with atrial fibrillation: the Euro Heart Survey. Chest 2010;138(5):1093–100.
- 21. Friberg L, Rosenqvist M, Lip GY. Evaluation of risk stratification schemes for ischaemic stroke and bleeding in 182 678 patients with atrial fibrillation: the Swedish Atrial Fibrillation cohort study. Eur Heart J 2012;33(12):1500–10.
- 22. Gent M, Hirsh J, Ginsberg JS, et al. Low-molecular-weight heparinoid organan is more effective than aspirin in the prevention of venous thromboembolism after surgery for hip fracture. Circulation 1996;93(1):80–4.

- 23. Hansen ML, Sorensen R, Clausen MT, et al. Risk of bleeding with single, dual, or triple therapy with warfarin, aspirin, and clopidogrel in patients with atrial fibrillation. Arch Intern Med 2010;170(16):1433–41.
- 24. Eriksson BI, Lassen MR. Duration of prophylaxis against venous thromboembolism with fondaparinux after hip fracture surgery: a multicenter, randomized, placebo-controlled, double-blind study. Arch Intern Med 2003;163(11):1337–42.
- 25. Rajasekhar A, Lottenberg R, Lottenberg L, et al. Pulmonary embolism prophylaxis with inferior vena cava filters in trauma patients: a systematic review using the meta-analysis of observational studies in epidemiology (MOOSE) guidelines. J Thromb Thrombolysis 2011;32(1):40–6.
- 26. Bass AR, Mattern CJ, Voos JE, et al. Inferior vena cava filter placement in orthopedic surgery. Am J Orthop (Belle Mead NJ) 2010;39(9):435–9.
- Friedman SM, Mendelson DA, Kates SL, et al. Geriatric co-management of proximal femur fractures: total quality management and protocol-driven care result in better outcomes for a frail patient population. J Am Geriatr Soc 2008;56(7): 1349–56.
- 28. Douketis JD, Spyropoulos AC, Spencer FA, et al. Perioperative management of antithrombotic therapy: antithrombotic therapy and prevention of thrombosis, 9th ed: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines. Chest 2012;141(Suppl 2):e326S–50S.